

Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
MSFT-0109

Serial No.
09/482,840

Applicant
Marcus Peinado et al.

Filing Date
January 13, 2000

Group
Not Yet Assigned

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	"Aladdin Knowledge Systems Partners with Rights Exchange, Inc. to Develop a Comprehensive Solution for Electronic Software Distribution," August 3, 1998, 5 pages
AB	Amdur, D., "Metering Online Copyright," January 16, 1996, 2 pages
AC	Amdur, D. (ed.), "InterTrust Challenges IBM Digital Content Metering," <i>Report On Electronic Commerce</i> , July 23, 1996, 3(15), 1-2 and 16-18
AD	Armati, D., "Tools and standards for protection, control and presentation of data," April 3, 1996, 17 pages
AE	Benjamin, R. et al., "Electronic markets and virtual value chains on the information superhighway," <i>Sloan Management Rev.</i> , Winter, 1995, 62-72
AF	Cassidy, "A Web developers guide to content encapsulation technology," April, 1997, 5 pages
AG	Clark, T., "Software Secures Digital Content on Web," <i>Interactive Week</i> , September 25, 1995, 1 page
AH	Cox, B., "Superdistribution," <i>Idees Fortes</i> , September, 1994, 2 pages
AI	Cox, B., "What if There Is A Silver Bullet," <i>J. Object Oriented Programm.</i> , June, 1992, 8-9 and 76
AJ	Griswold, G.N., "A Method for Protecting Copyright on Networks," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(1), 169-178
AK	Hauser, R.C., "Does licensing require new access control techniques?" August, 1993, 9 pages
AL	Hudgins-Bonafield, C. "Selling Knowledge on the Net," <i>Network Computing</i> , June 1, 1995, 102-109
AM	"IBM spearheading intellectual property protection technology for information on the Internet," May, 1996, 3 pages

EXAMINER 

DATE CONSIDERED 1-6-06

FORM PTO-147

MSFT-0109

09/482,840

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Applicant
Marcus Peinado et al.

Filing Date
January 13, 2000

Group
Not Yet Assigned

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AN	"Technological Solutions Rise to Complement Law's Small Stick Guarding Electronic Works," <i>Information Law Alert</i> , June 16, 1995, 3-4 and 7
	AO	Kaplan, M.A., "IBM Cryptolopes, Super-Distribution and Digital Rights Management," December, 1996, 7 pages
	AP	Kent, S.T., "Protecting externally supplied software in small computers," September, 1980, 1-42 and 250-252
	AQ	Kohl, U. et al., "Safeguarding Digital Library Contents and Users; Protecting Documents Rather Than Channels," <i>D-Lib Magazine</i> , September, 1997, 9 pages
	AR	Linn, R.J., "Copyright and Information Services in the Context of the National Research and Education Network," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(1), 1 and 10-20
	AS	McNab, L., "Super-distribution works better in practical applications," March 2, 1998, 2 pages
	AT	Moeller, M., "NetTrust lets Cyberspace Merchants Take Account," <i>PC Week</i> , November 20, 1995, 12(48), 1 page
	AU	Moeller, M., "IBM takes charge of E-commerce; Plans client, server apps based on SET," April, 1996, 4 pages
	AV	Pemberton, J., "An ONLINE Interview with Jeff Crigler at IBM InfoMarket," July, 1996, 6 pages
	AW	"Licenst: kinder, gentler copyright? Copyright management system links content, authorship information," <i>Seybold Report on Desktop Publishing</i> , 1996, 10(11), 2 pages
	AX	Sibert, O. et al., "The DigiBox: A Self-protecting Container for Information Commerce," <i>First USENIX Workshop on Electronic Commerce</i> , July 11-12, 1995, 171-183
	AY	Sibert, O. et al., "Securing the Content, Not the Wire, for Information Commerce," July, 1995, 1-11

EXAMINER 

DATE CONSIDERED 1-6-06

List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		MSFT-0109	09/482,840
		Applicant Marcus Peinado et al.	
		Filing Date January 13, 2000	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AZ	Smith, M.G. et al., "A New Set of Rules For Information Commerce," <i>Electronic Commerce</i> , November 6, 1995, 34-35	
	BA	Stefik, M., "Trusted Systems," March, 1997, 8 pages	
	BB	Stefik, M., "Shifting the Possible: How Trusted Systems and Digital Property Rights Challenge Us to Rethink Digital Publishing," <i>Technical Perspective</i> , 1997, 137-159	
	BC	Tarter, J. (ed.), "The Superdistribution Model," <i>Soft Trends</i> , November 15, 1996, 3(6), 1-6	
	BD	"Rights Management in the Digital Age: Trading in Bits, Not Atoms," Spring, 1997, 4, 3 pages	
	BE	Weber, R., "Digital Rights Management Technology," October, 1995, 35 pages	
	BF	White, S.R. et al., "ABYSS: An Architecture for Software Protection," <i>IEEE Trans. On Software Engineering</i> , June, 1990, 16(6), 619-629	
	BG	White, S.R. et al., "ABYSS: A trusted architecture for software protection," <i>IEEE Symposium on Security and Privacy</i> , April 27-29, 1987, 38-51	
	BH	"Boxing Up Bytes," 2 pages	
	BI	Ramanaapuram, A. et al., "Digital Content & Intellectual Property Rights: A specification language and tools for rights management," December, 1998, 20-23 and 26	
EXAMINER <i>[Signature]</i>		DATE CONSIDERED <i>1-6-06</i>	

FORM 1-10-100

MSFT-0109

09/482,840

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Applicant
Marcus Peinado et al.

Filing Date
January 13, 2000

Group
Not Yet Assigned

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
<i>h w</i>	BJ	3,718,906	02/27/73	Lightner	340	147 R
<i>h w</i>	BK	4,323,921	04/06/82	Guillou	358	114
<i>h w</i>	BL	4,528,643	07/09/85	Freeny, Jr.	364	900
<i>h w</i>	BM	4,658,093	04/14/87	Hellman	380	25
<i>h w</i>	BN	4,683,553	07/28/87	Mollier	380	4
<i>h w</i>	BO	4,827,508	05/02/89	Shear	380	4
<i>h w</i>	BP	4,916,738	04/10/90	Chandra et al.	380	25
<i>h w</i>	BQ	4,953,209	08/28/90	Ryder, Sr. et al.	380	23
<i>h w</i>	BR	4,977,594	12/11/90	Shear	380	4
<i>h w</i>	BS	5,050,213	09/17/91	Shear	380	25

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
	BT	EP 0 715 246 A1	06/05/96	EPO	X	
	BU	EP 0 715 247 A1	06/05/96	EPO	X	
	BV	WO 93/01550	01/21/93	PCT	X	
	BW	WO 96/13013	05/02/96	PCT	X	
EXAMINER <i>h w</i>				DATE CONSIDERED 1-6-06		

FORM PTO-1497

MSFT-0109

09/482,840

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Applicant
Marcus Peinado et al.

Filing Date
January 13, 2000

Group
Not Yet Assigned

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
<i>GA</i>	BX	5,103,392	04/07/92	Mori	395	725
<i>GA</i>	BY	5,103,476	04/07/92	Waite et al.	380	4
<i>GA</i>	BZ	5,109,413	04/28/92	Comerford et al.	380	4
<i>GA</i>	CA	5,117,457	05/26/92	Comerford et al.	380	3
<i>GA</i>	CB	5,193,573	03/16/93	Chronister	137	315
<i>GA</i>	CC	5,222,134	06/22/93	Waite et al.	380	4
<i>GA</i>	CD	5,319,705	06/07/94	Halter et al.	380	4
<i>GA</i>	CE	5,410,598	04/25/95	Shear	380	4
<i>GA</i>	CF	5,509,070	04/16/96	Schull	380	4
<i>GA</i>	CG	5,629,980	05/13/97	Stefik et al.	380	4

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
	CH	WO 96/24092	08/08/96	PCT	X	
	CI	WO 96/27155	09/06/96	PCT	X	
	CJ	WO 97/25798	07/17/97	PCT	X	
	CK	WO 97/43761	11/20/97	PCT	X	
	CL	WO 98/09209	03/05/98	PCT	X	

EXAMINER *GA*

DATE CONSIDERED 1-6-06

Form PTO-1447

MSFT-0109

09/482,840

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Applicant
Marcus Peinado et al.

Filing Date
January 13, 2000

Group
Not Yet Assigned

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
<i>aw</i>	CM	5,634,012	05/27/97	Stefik et al.	395	239
<i>aw</i>	CN	5,638,443	06/10/97	Stefik et al.	380	4
<i>aw</i>	CO	5,673,316	09/30/97	Auerbach et al.	380	4
<i>aw</i>	CP	5,710,887	01/20/98	Chelliah et al.	395	226
<i>aw</i>	CQ	5,715,403	02/03/98	Stefik	395	244
<i>aw</i>	CR	5,765,152	06/09/98	Erickson	707	9
<i>aw</i>	CS	5,809,144	09/15/98	Sirbu et al.	380	25
<i>aw</i>	CT	5,845,281	12/01/98	Benson et al.	707	9

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
	CU	WO 98/10381	03/12/98	PCT	X	
	CV	WO 98/21679	05/22/98	PCT	X	
	CW	WO 98/24037	06/04/98	PCT	X	
	CX	WO 98/37481	08/27/98	PCT	X	

EXAMINER *aw*

DATE CONSIDERED 1-6-06

Sheet 1 of 1

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0109/ 127334.9	Serial No. 09/482,840
	Applicant Marcus Peinado, et al.	
	Filing Date January 13, 2000	Group 2768

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	77	0 665 486	08/02/95	EPO		
	78	0 715 245 A1	06/05/96	EPO		
	79	0 887 723 A	12/30/98	EPO		
EXAMINER <i>[Signature]</i>				DATE CONSIDERED 1-6-06		